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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kazuhiro NOJIMA

Serial No. 10/601,909

Art Unit: 2874

Filed: June 24, 2003

Examiner:

For: WAVELENGTH
CONVERTER AND OPTICAL
CROSS CONNECT SYSTEM

Atty Docket: 0121/0033

INFORMATION DISCLOSURE STATEMENT
FILED PURSUANT TO 37 CFR 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicants' duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

A concise explanation of the non-English documents are attached.

These documents are being submitted (check only one of the next four boxes):

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due); (37 CFR §1.97(b))
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked,


one of the last three boxes also must be checked); (37 CFR §1.97(c))

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action. The undersigned hereby requests consideration of this Information Disclosure Statement after the mailing the Final Office Action or Notice of Allowance by filing this Information Disclosure Statement under 37 CFR §1.97(d)
- ☐ The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR §1.97(d)(2))
- ☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by the European Patent Office in a counterpart application. (37 CFR §1.97(e)(1))
- ☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR §1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



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Date: June 9 2004



PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 1 of <u>1</u>	Form PTO-1449	ATTORNEY DOCKET NO. 0121/0033	SERIAL NO. 10/601,909
	APPLICANT Kazuhiro NOJIMA		
	FILING DATE 24-Jun-03	GROUP	

U.S.PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date M/D/Y	Name	Class/ Subclass	(If appropriate) Filing Date
		6,014,235	1/11/00	David A. Nortc		
		6,437,905	8/20/02	Charles H Joyner et al.		

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date M/D/Y	Country	Class/ Subclass	Translation	
						Yes	No
		2001-194692 with English abstract	7/19/01	Japan Nakano et al.			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	

EXAMINER:	Date Considered:
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EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.